

PATENT  
Docket No. 219002029400

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on January 14, 2004.

  
Michael Boyd

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Jonathan AXON et al.

Serial No.: 10/660,115

Filing Date: September 10, 2003

For: INHIBITORS OF TGF $\beta$

Examiner: Not Yet Assigned

Group Art Unit: 1646

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \_\_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 219002029400. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

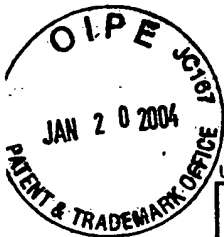
Dated: January 14, 2004

Respectfully submitted,

By: 

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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/660,115
	Filing Date	September 10, 2003
	First Named Inventor	Jonathan AXON
	Art Unit	1646
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	6 + 16 References	Attorney Docket Number 219002029400

ENCLOSURES (Check all that apply)				
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37. CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Form PTO-1449 + copy (2 pages) 16 References Return postcard		
<table border="1"><tr><td>Remarks</td></tr><tr><td>Customer No. 25225</td></tr></table>			Remarks	Customer No. 25225
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MORRISON & FOERSTER LLP James J. Mullen, III, Ph.D. - 44,957
Signature	
Date	January 14, 2004

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Dated: 1-14-04	Signature:  (Michael Boyd)

sd-179638

Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

Docket Number 219002029400

Application Number 10/660,115

Applicant

Jonathan AXON et al.

Filing Date September 10, 2003

Group Art Unit 1646

Mailing Date January 14, 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	1.	07/2001	WO 01/47921	WIPO			

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	2.	Birnbaumer, Cell (1992) 71:1069-1072
	3.	Crawford et al., Cell (1998) 93:1159-70
	4.	Lawrence, Eur. Cytokine Network (1996) 7:363-74
	5.	Lin et al., Cell (1992) 68:775-85
	6.	Lopez-Casillas, Cell (1991) 67:785-95
	7.	Lyons and Moses, Eur. J. Biochem. (1990) 187:467-473
	8.	Massague et al., Ann. Rev. Cell. Biol. (1990) 6:597-641
	9.	Massague, Cell (1992) 69:1067-70
	10.	Massague, Ann. Rev. Biochem. (1998) 67:753-91
	11.	Munger et al., Kidney Intl. (1997) 51:1376-82
	12.	Munger et al., Cell (1999) 319-28
	13.	Roberts and Sporn <u>Handbook of Experimental Pharmacology</u> (1990) 95:419-472
	14.	Wahl, et al., Immunol. Today (1989) 10:258-61
	15.	Wang et al., Cell (1991) 67:797-805
	16.	Wrana et al., Cell (1992) 71:1003-14

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.